

### **CTR: Commission Objectives**

General objective = make EU a more attractive place to conduct clinical trials

A modern regulatory framework for submission, assessment and follow up

Regulatory requirements adapted to practical needs without compromising participant safety, rights and well being or data robustness.

Address the global dimension of clinical trials when ensuring compliance with GCP.



### **CTR- Timeline**

17/07/2012	Legislative proposal published
11/09/2012	Committee referral announced in Parliament, 1st reading/single reading
29/05/2013	Vote in committee, 1st reading/single reading
10/06/2013	Committee report tabled for plenary, 1st reading/single reading
02/04/2014	Debate in Parliament
02/04/2014	Decision by Parliament, 1st reading/single reading
14/04/2014	Act adopted by Council after Parliament's 1st reading
16/04/2014	Final act signed-End of procedure in Parliament

#### **Publication by Commission May/June**



### **Date of Application**

Publication of CTR in OJ (x June 2014)

Earliest date CTR applies (x June 2016)







EU Portal operational by (x December 2015) For every day/week/month the Portal is delayed

 Implementation date moves on by one day/week/month



### **Key Points**

It is a Regulation

New roles for Commission

**CTR** 

New simplified application/approval procedure

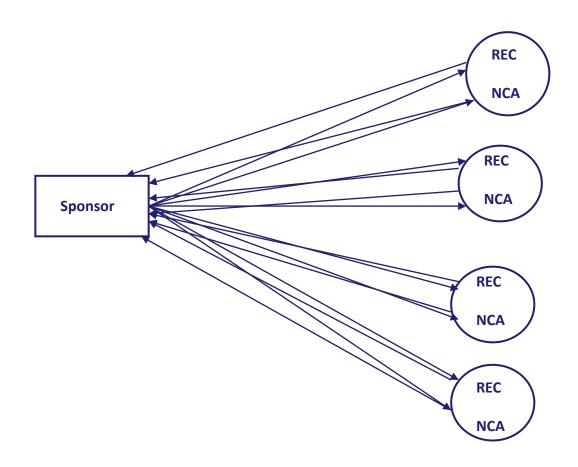
Increased transparency: registry, trial activity, results

Risk based approach

New content: emergency clinical trials, co-sponsorship and serious breaches

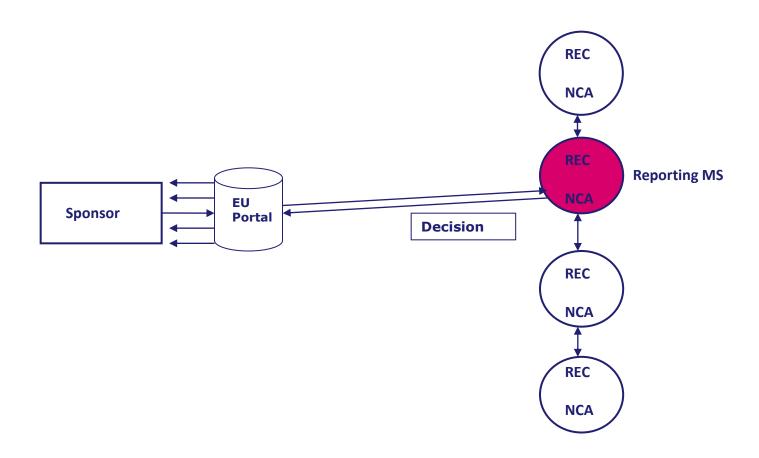


### **Authorisations under CTD**





### **Authorisations under CTR**





#### **Authorisations under CTR**

One submission, joint assessment, one decision at MS level.

Lay person to participate in the assessment

Part 2 (National) in parallel with Part I.

Expires after 2 years (no recruitment)

Sponsor chooses RMS

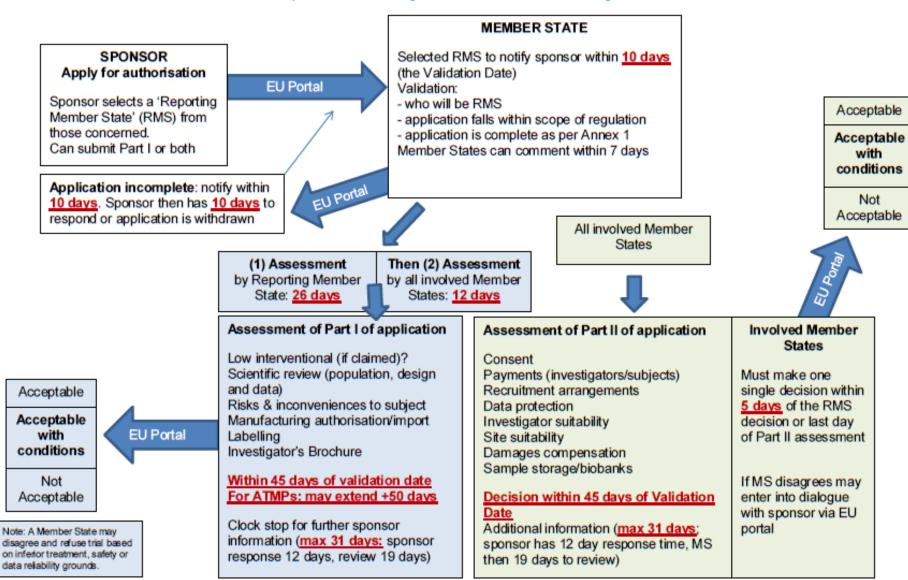
Initial assessment coordinated, reviewed and consolidated by RMS

Clear timelines with tacit approval if not met



#### Outline of proposed clinical trial authorisation process introduced by the new EU Regulation (agreed position December 2013)

Note: other processes exist for adding Member States and in the event of disagreement.



#### **Review Timescales**

#### Trial Authorisation

Up to 60 days if no questions (45 days in original proposal)

Up to 91 days if questions (65 days in original proposal)

Addition of a Member State subsequently up to 51 days

# Substantial modifications

Up to 44 days if no questions

Up to 75 days if questions

# ATMPs and other biotechs

Possible extension of 50 days to allow for consultation



#### **EU Portal**

- EMA to develop and maintain the EU portal and database
- Aim: Ensure effective supervision of the conduct of the trials by MS
- EMA /MS to draw up functional specifications
- EMA Management Board will verify full functionality (independent audit)
- Linked to the date of application of the Regulation (6 months)
- Database will enable communication between sponsors and MS
- Database will be publicly accessible unless confidentiality is justified (personal data, commercially confidential information)



#### **EU Portal**

 Initial application Authorisation Modifications Additional Member States Start of trial and recruitment **Notifications**  End of trial Trial results Reports Inspection reports EU **PORTAL**  DSURs Safety SUSARs (to EudraVigilance) Unexpected events Serious breaches (within 7 days) Others Urgent safety measures (within 7 days) Competent Authorisations, questions, modifications authorities Intention to inspect



## **Authorisations process**

Part I:	Part II
Overall authorisation	National specifics
Scientific Review	Ethics
Risk/ inconvenience to subject	Consent
IMP Manufacture	Liability
IB	Suitability (site/Investigator)
Low interventional status	Payments



### **Trial Types**

Defined in accordance with revised OECD definitions:

B (2) C: Clinical Trial

A, B(1): Low interventional Clinical Trial:

- IMP authorised
- Used in accordance with MA
- Use supported by published scientific evidence of safety/ efficacy
- Additional procedures/ tests do not pose additional risks



### **Ethics Review**

Performed by an IEC in accordance with MS legislation

MS responsible for local Ethics review

May encompass aspects covered by parts I and II

MS to ensure timelines aligned with the regulation



### **Trial Conduct / GCP**

Sponsor must ensure CT is conducted in compliance with:

The trial protocol and GCP principles

#### <u>AND</u>

Take into account quality standards / guidelines of ICH

**Implication**: ICH GCP not legislated **BUT** suggests more than ICH GCP (E6) to be followed



#### **Personal Data**

Appropriate measures to be taken to ensure personal data and information are protected against unauthorised/ unlawful:

- Access
- Disclosure
- Dissemination
- Alteration
- Destruction
- Loss



Particularly when processing across a network



#### Informed consent

Most discussed chapter!

Possibility of broad consent: use of data outside of protocol if subject

This consent can be withdrawn at any time by the subject

Interview can be conducted by a member of the investigating team who is appropriately qualified according to national legislation



#### Informed consent

#### Provisions for trials with:

- Incapacitated subjects and minors –special considerations.
- Pregnant and breast feeding women.
- Military personnel, prisoners and people in residential care institutions.
- Emergency situations can only take place where potential for direct benefit for the subject



### **Monitoring - RBA**

Extent / Nature shall be determined by Sponsor on basis of Risk Assessment taking into account:

- Low intervention/Normal CT
- Objective/ Methodology of the trial
- Degree of deviation from normal clinical practice



### Start, end, suspension, temporary halt

The Sponsor must notify each Member State concerned:

- Start and end of the recruitment in each MS (Art. 33).
- The end of the trial in each MS
- The end of the trial in all MS
- The temporary halt and restart

Within 15 days via the EU portal



#### **Serious Breaches**

Sponsor shall notify each MS (via EU portal) of any serious breach of CT Regulation, trial protocol or GCP

within 7 days of becoming aware of that breach."

A "serious breach" is a breach which is likely to effect to a significant degree-

The safety or rights of the subjects of the trial or

The reliability and robustness of trial data



## **Transparency/Results**

**Summary** of trial results < 1 year of trial end including a lay summary

Content detailed in Annex III

Exceptions must be explained/justified in protocol (and reported ASAP)

The Commission will produce guidelines for sharing raw data on a voluntary basis



#### **Records Retention**

All CT records to be retained for **25 years** following completion of the CT

Medical records retention to comply with local legislation





### Supervision/inspections by Member States

#### EC has a new role to verify:

- MS compliance with CTR
- Regulatory systems for CT outside the EU are fit for purpose.
- All reports will be publicly available

Inspection report will be made available to the inspected entity and the Sponsor

Submitted through the EU portal to the EU database



### Supervision/inspections by Member States

Inspection fees may be waived for non-commercial sponsors

#### No intention in the UK to apply this

MHRA as a Government trading body must fund its activities

EC will draft an implementing act (secondary legislation) on the inspection procedures



### **Summary**

- Regulation is due for release in EC OJ
- A period of transition will follow (EU portal dependent)
- Major change=Application process
- Requirements to be implemented as is (no gold plating)
- UK relatively little change to current reqs
- EU portal robustness is pivotal



#### http://bit.ly/1efTMRV



#### COUNCIL OF THE EUROPEAN UNION

Brussels, 20 December 2013

Interinstitutional File: 2012/0192 (COD)

17866/13

PHARM 80 SAN 530 MI 1170 COMPET 930 CODEC 2979

#### NOTE

from:	General Secretariat	
to:	Delegations	
No. Cion prop.:	12751/12 PHARM 60 SAN 176 MI 508 COMPET 513 CODEC 1946	
No. prev. doc.:	17865/13 PHARM 79 SAN 529 MI 1169 COMPET 929 CODEC 2978	
Subject:	Proposal for a Regulation of the European Parliament and of the Council on Clinical trials on medicinal products for human use, and repealing Directive 2001/20/EC	

Delegations will find in the Annex to this Note the consolidated text of the draft regulation as approved today by the Permanent Representatives Committee (Part 1).

#### Any questions?

